



**CERTIFICATE OF MAILING**

I hereby certify that this paper or fee is being deposited with the United States Postal Service with sufficient postage as first class mail under 37 C.F.R. 1.8 on the date indicated below and is addressed to: **MAIL STOP: FEE – AMENDMENT**; Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**Date of Deposit:** May 5, 2004

Selena Whitaker-Paquet

Attorney Docket No. 12500.2001U  
**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: **Pierre MOURAD, Michel KLIOT, Ali MESIWALA, Rex PATTERSON**  
and **Jeffrey G. JARVIK**

Group Art Unit: 3737

Application No. : 09/995,897

Filing Date : November 28, 2001

For : **SYSTEMS AND METHODS FOR MAKING  
NONINVASIVE PHYSIOLOGICAL ASSESSMENTS**

Examiner : Ali M. Imam

**RECEIVED**  
**MAY 14 2004**

**TECHNOLOGY CENTER R3700**

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

**MAIL STOP: FEE – AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

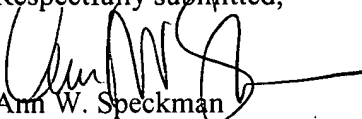
Dear Sir or Madam:

The attached form PTO/SB/08 (substitute for form 1449A/PTO) identifies four (4) U.S. patent references and one (1) published U.S. patent application reference. Copies of these references are enclosed herewith for the Examiner's convenience.

The documents listed on the accompanying form PTO/SB/08 are cited in compliance with the provisions of 37 C.F.R §§ 1.56, 1.97 and 1.98, as amended. Applicant does not concede that the references are "prior art" under 35 U.S.C. §102 or §103, and specifically reserves the right to antedate such materials, as by a showing under 37 C.F.R. § 1.131 or otherwise.

The requisite fee of **\$180.00** for filing this Information Disclosure Statement is included in the check for \$1,034.00 enclosed herewith.

Respectfully submitted,

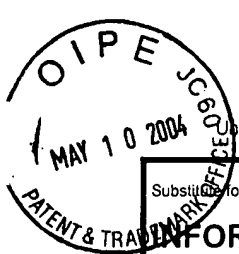
  
Ann W. Speckman

Registration No. 31,881

Date: May 5, 2004

**SPECKMAN LAW GROUP PLLC**  
**20601**

05/12/2004 WABDEL1 00000007 09995897 180.00 OP  
04 FC:1806



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|   |   |    |   |                          |                      |
|---|---|----|---|--------------------------|----------------------|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) |   |    |   | <b>Complete if Known</b> |                      |
|   |   |    |   | Application Number       | 09/995,897           |
|   |   |    |   | Filing Date              | November 28, 2001    |
|   |   |    |   | First Named Inventor     | Piere MOURAD, et al. |
|   |   |    |   | Art Unit                 | 3737                 |
| Sheet   | 1 | of | 1 | Examiner Name            |                      |
|   |   |    |   | Attorney Docket Number   | 12500.2001U          |

| U.S. PATENT DOCUMENTS |                          |  |                                |  |  |
|-----------------------|--------------------------|--|--------------------------------|--|--|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where Relevant<br>Passages or Relevant Figures Appear |
|                       |                          | Number-Kind Code <sup>2</sup> (if known) |                                |  |  |
|                       | 1                        | US-6,723,051 B2                          | April 20, 2004                 | Davidson, et al.                                   |  |
|                       | 2                        | US-2004/0049105 A1                       | Mar. 11, 2004                  | Crutchfield, et al.                                |  |
|                       | 3                        | US-6,692,443 B2                          | Feb. 17, 2004                  | Crutchfield, et al.                                |  |
|                       | 4                        | US-6,527,717 B1                          | Mar. 4, 2003                   | Jackson, et al.                                    |  |
|                       | 5                        | US-6,352,507 B1                          | Mar. 5, 2002                   | Torp, et al.                                       |  |
|                       | 6                        | US-                                      |                                |  |  |
|                       | 7                        | US-                                      |                                |  |  |
|                       | 8                        | US-                                      |                                |  |  |
|                       | 9                        | US-                                      |                                |  |  |
|                       | 10                       | US-                                      |                                |  |  |

**RECEIVED**  
**MAY 14 2004**  
**TECHNOLOGY CENTER R3700**

| FOREIGN PATENT DOCUMENTS |                          |   |                                |  |  |                |
|--------------------------|--------------------------|---|--------------------------------|--|--|----------------|
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant<br>of Cited Document | Pages, Columns, Lines Where<br>Relevant Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
|                          |                          | Country code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                |  |  |                |
|                          | 1                        |   |                                |  |  | √              |
|                          | 2                        |   |                                |  |  |                |
|                          | 3                        |   |                                |  |  |                |
|                          |                          |   |                                |  |  |                |

| OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS |                          |  |                |
|--|--------------------------|--|----------------|
| Examiner<br>Initials                               | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T <sup>2</sup> |
|  | 1                        |  |                |
|  | 2                        |  |                |
|  | 3                        |  |                |
|  | 4                        |  |                |
|  | 5                        |  |                |
|  |                          |  |                |

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.